Enantioselective Michael addition of β -keto esters to methyl vinyl ketone employing a chiral N,N'-dioxide-scandium trifluoromethanesulfonate complex as a catalyst

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An enantioselective Michael addition of β-keto esters to methyl vinyl ketone exploiting a chiral N,N'-dioxidescandium trifluoromethanesulfonate complex as a catalyst affords the corresponding Michael adducts in high yields and with enantioselectivities of up to 80% ee.

Enantioselective catalytic Michael additions are one of the most important carbon-carbon bond formation reactions because of the versatility of the products as chiral building blocks.¹ Various chiral catalysts have been reported for the Michael addition of prochiral β -keto esters to α , β -unsaturated carbonyl compounds including cinchona alkaloids, 2a-c chiral crown ether-metal alkoxide complexes,^{2d} chiral amine-transition metal complexes,^{2e-g} chiral alkoxide complexes,^{2h} and chiral bimetallic lanthanoid complexes1b.

The N-oxide functional group is known to form complexes with a variety of metals³ due to its strong electron donating ability, and as such could be considered useful in potential catalysts. However, only a limited number of attempts to employ N-oxides in chiral catalysts have been reported.⁴ As part of our program of developing N-oxide-mediated reactions,5 herein we describe an enantioselective Michael addition of β keto esters to methyl vinyl ketone catalyzed by a chiral N, N'dioxide-scandium trifluoromethanesulfonate complex.

We have recently reported enantioselective conjugate addition of thiols to cyclic enones and acyclic enals catalyzed by the complex between 1 and cadmium iodide.⁶ This prompted an investigation of the Michael addition of dimethyl malonate to cyclohexenone employing the 1-cadmium complex, however, no Michael adduct was obtained with the cadmium complex. A study of the Michael addition of methyl 1-oxoindan-2-carboxylate (2a) to methyl vinyl ketone, a reaction frequently investigated as a probe for enantioselective Michael addition, was then undertaken. The reaction proceeded smoothly with the 1-cadmium iodide complex, but the observed enantiomeric excess of the adduct was low (75% yield, 13% ee). After screening complexes prepared in situ from 1 and various metal salts, we found that 5 mol % of a 1:1 complex of 1 and scandium trifluoromethanesulfonate in dichloromethane at room temperature catalyzed the Michael addition to generate the adduct 3a in quantitative yield with moderate enantioselectivity of 39% ee (Table 1, entry 1).

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Introduced by Kobayashi et al., scandium trifluoromethanesulfonate⁷ is known as a versatile Lewis acid, yet only a few chiral scandium complexes as catalysts for enantioselective reactions have been reported, scandium trifluoromethanesulfonate-BINOL-amine complexes^{8,9} and bimetallic complexes.^{1b} Scandium trifluoromethanesulfonate is not soluble in dichloromethane, while its complex with 1 and 2a dissolves in dichloromethane to give a yellow solution. Other solvents examined in the Michael addition were found to generate products in lower enantiomeric excess than dichloromethane (toluene: 99% yield, 8% ee; propionitrile: 99% yield, 19% ee, tetrahydrofuran: 99% yield, 10% ee). Lower selectivities were obtained at both higher and lower temperatures (0 °C: 91% yield, 5% ee; 40 °C: 98% yield, 20% ee). The enantioselectivity also strongly depended on the ratio of N-oxide to scandium (2.5; 99% yield, 19% ee, 1.0: 99% yield, 39% ee, 0.5: 94% yield, 30% ee with 0.5 mM scandium trifluoromethanesulfonate), as well as the catalyst concentration (0.1 mM: 85% yield, 35% ee; 0.5 mM: 99% yield, 39% ee; 2.5 mM: 93% yield, 6% ee with the ratio of N-oxide to scandium 1.0). These results suggest a variety of aggregation states for the complexes of scandium and N-oxide, though the details of these complexes are beyond the scope of this work.

As a Michael acceptor, acrolein generates a product with similar enantioselectivity (rt, 2 h, 75% yield, 30% ee after conversion of aldehyde into methyl ester), while chalcone



Table 1 Enantioselective Michael addition of β -keto ester 2 to methyl vinyl ketone catalyzed by 1–Sc(OTf)₃ complex

^a Determined by HPLC analysis employing Daicel Chiralpak AD or Chiralcel OJ (hexane-isopropyl alcohol = 9:1, 1 mL min⁻¹). ^b Assigned by optical rotation ($[\alpha]_{577}^{25}+29.8$ (c 1, benzene), lit. 2b: $[\alpha]_{578}$ st -77 (c 2, benzene) for (S)-**3a**). ^c Assigned by optical rotation of **3b** prepared from **3a**. ^d Assigned by analogy. e Assigned by optical rotation after conversion to 3a. f Determined after conversion of aldehyde into methyl ester. g Optical rotation of diester.



generates a complex mixture of products. No reaction was observed using methyl acrylate as an acceptor. A variety of β -keto esters were then evaluated as Michael donors employing methyl vinyl ketone as an acceptor. Benzyl 2-oxocyclopentane-carboxylate, methyl 1-oxo-1,2,3,4-tetrahydronaphthalene-2-carboxylate, and methyl 2-methyl-3-oxopropanoate produced racemic mixtures, which suggests the importance of the indan skeleton in directing the enantiocontrol.

Enantioselective Michael additions of various esters of 1-oxoindan-2-carboxylic acid to methyl vinyl ketone were then investigated. The bulkiness of the ester substituent was found to have a pronounced effect on the observed enantioselectivity. As shown in Table 1, the enantioselectivities increased with the bulkiness of the ester. *tert*-Butyl ester **2e** exhibits the highest enantioselectivity of 80% ee (entry 5), while the Michael addition of *tert*-butyl ester **2e** to acrolein also produces notably better enantioselectivity (entry 6) than that of methyl ester **2a**.

The predominant formation of (R)-**3e** may be explained by the transition state model shown in Fig. 1. The bulky *tert*-butyl ester moiety should be located on the *si*-face of the keto ester plane in order to avoid steric repulsion with the quinoline moiety, which leads the attack of methyl vinyl ketone at the *re*face preferentially.

A representative procedure for the enantioselective Michael addition catalyzed by the scandium trifluoromethanesulfonate– 1 complex is as follows. A mixture of *N*,*N*'-dioxide 1 (8.0 mg, 0.026 mmol), scandium trifluoromethanesulfonate (15 mg, 0.026 mmol) and β -keto ester 2e (120 mg, 0.52 mmol) in dichloromethane (5 ml) was sonicated for 5 min to generate a yellow solution. Methyl vinyl ketone (0.2 mL, 2.6 mmol) was added to the solution and the mixture was stirred at room temperature for 0.5 h. After standard work-up followed by silica gel chromatography, 3e (145 mg, 93%) was isolated as needles. *N*,*N*'-Dioxide 1 was recovered by elution with 10% ethanol in dichloromethane without a loss of optical purity. The enantiomeric excess of the adduct was determined by chiral HPLC. In conclusion, we have demonstrated the potentiality of a chiral N,N'-dioxide–scandium trifluoromethanesulfonate complex to act as a catalyst for enantioselective Michael additions of β -keto esters to methyl vinyl ketone. The present reaction provides the first example of a chiral N-oxide–scandium complex acting as a catalyst in an enantioselective reaction. Studies into design modifications of chiral N,N'-oxides to further enhance enantioselectivity, and into the refinement of the mechanism of the reaction are currently in progress.

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